

01-02-02

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PTO/SB/05 (08-00) (modified)

Approved for use through 9/30/2001, OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

NEW UTILITY PATENT APPLICATION TRANSMITTAL

(only for new nonprovisional applications under
37 CFR 1.53(b))

Attorney Docket Number 22682-06281

First Named Inventor Sha Li

Title Video Processing Control and
Scheduling

Express Mail Label No. EL482472915US

APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form (in duplicate)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27
3. ☒ Specification Total Pages 70
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference(s) to Related Case(s)
 - Statement Regarding Fed sponsored R & D
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawing(s)
 - Detailed Description
 - Claim or Claims
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. Total Sheets 18
113)
5. Oath or Declaration
 - a. ☒ New Declaration Total Pages 1
☒ Executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ Certified Copy of Priority Document(s) (if foreign priority
is claimed)
9. ☒ Power of Attorney or Authorization of Agent
10. ☐ 37 CFR 3.73(b) Statement
11. ☐ Preliminary Amendment
12. ☐ Information Disclosure Statement & PTO-1449
☐ Copies of IDS Citation(s)
13. ☒ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form
PTO/SB/35 or its equivalent
14. ☒ Return Postcard
15. ☒ Amendment A
16. ☐
17. ☐

ADDRESS TO:

**Box Patent Application
Commissioner for Patents
Washington, D.C. 20231**

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: ____/____

Prior application information: Examiner: _____ Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number and Bar Code
Label



00758

| | | | |
|-------------------|------------------|-----------------------------------|------------------|
| Name (Print/Type) | Martin S.C. Loui | Registration No. (Attorney/Agent) | 43,411 |
| Signature | Martin S.C. Loui | Date | NOVEMBER 2, 2001 |

22682/06281/DOCS/1211512.1

JC962 U.S. PTO
11/02/01

JC962 U.S. PTO
11/02/01

11/02/01

0002/PTO(modified)
Rev. 10/2001U.S. Department of Commerce
Patent and Trademark Office

FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = (\$ 1,178)

Complete if Known

| | |
|------------------------|------------------|
| Application Number | Not Yet Known |
| Filing Date | November 2, 2001 |
| First Named Inventor | Sha Li |
| Group Art Unit | Not Yet Known |
| Examiner Name | Not Yet Known |
| Attorney Docket Number | 22682-06281 |

J0720 U.S. PTO
10/033857

11/02/01

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to:

- ☐ Charge the indicated fees to the below mentioned deposit account.
- ☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. †
- ☒ Applicant claims small entity status
See 37 CFR 1.27

Deposit Account Number: 19-2555

Deposit Account Name: FENWICK & WEST LLP

A Duplicate Copy of this authorization is attached

2. ☒ Payment Enclosed:☒ Check ☐ Credit Card ☐ Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code/Fee | Small Entity Fee Code/Fee | Fee Description | Fee Due |
|------------------------------|------------------------------|--|---------|
| 105/\$130 | 205/\$65 | Surcharge - late filing fee or oath | |
| 127/\$50 | 227/\$25 | Surcharge-late provisional filing fee or cover sheet | |
| 147/\$2,520 | 147/\$2,520 | For filing a request for reexamination | |
| 115/\$110 | 215/\$55 | Extension for response within first month† | |
| 116/\$400 | 216/\$200 | Extension for response within second month† | |
| 117/\$920 | 217/\$460 | Extension for response within third month† | |
| 118/\$1,440 | 218/\$720 | Extension for response within fourth month† | |
| 128/\$1,960 | 228/\$980 | Extension for response within fifth month† | |
| 119/\$320 | 219/\$160 | Notice of Appeal | |
| 141/\$1,280 | 241/\$640 | Petition to revive unintentionally abandoned application | |
| 142/\$1,280 | 242/\$640 | Utility Issue Fee (Or Reissue) | |
| 143/\$460 | 243/\$230 | Design Issue Fee | |
| 122/\$130 | 122/\$130 | Petitions to the Commissioner | |
| 126/\$180 | 126/\$180 | Submission of Information Disclosure Statement | |
| 179/\$740 | 279/\$370 | Request for Continued Examination (RCE) | |
| 581/\$40 | 581/\$40 | Recording each patent assignment per property (times number of properties) | 40 |
| 146/\$740 | 246/\$370 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149/\$740 | 249/\$370 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| Other fee (specify): | | | |
| Other fee (specify): | | | |
| SUBTOTAL (3) | | | (\$ 40) |

FEE CALCULATION (fees effective 10/01/2001)

1. FILING FEE

| Large Entity Fee Code/Fee | Small Entity Fee Code/Fee | Fee Description | Fee Due |
|------------------------------|------------------------------|--------------------|----------|
| 101/\$740 | 201/\$370 | Utility Filing | 370 |
| 106/\$330 | 206/\$165 | Design Filing | |
| 108/\$740 | 208/\$370 | Reissue | |
| 114/\$160 | 214/\$80 | Provisional Filing | |
| SUBTOTAL (1) | | | (\$ 370) |

2. CLAIMS

| Large Entity Fee Code/Fee | Small Entity Fee Code/Fee | Fee Description |
|------------------------------|------------------------------|---|
| 103/\$18 | 203/\$9 | Claims in excess of 20 |
| 102/\$84 | 202/\$42 | Independent claims in excess of 3 |
| 104/\$280 | 204/\$140 | Multiple dependent claim |
| 109/\$84 | 209/\$42 | Reissue independent claims over original patent |
| 110/\$18 | 210/\$9 | Reissue claims in excess of 20 and over original patent |

| (Col. 1) | | (Col. 2) | | (Col. 3) | | Fee Due | |
|--|------------------------|----------|---------------------------------|----------|---------|---------|-----|
| For | No. of Existing Claims | minus* | Highest No. Previously Paid For | = | Extra** | x | Fee |
| TOTAL | 68 | minus* | 20 or 0 | = | 48 | x | 9 |
| INDEP | 11 | minus* | 3 or 0 | = | 8 | x | 42 |
| [] First presentation of multiple dependent claim | | | | | | | = |

* Subtract the greater number of Col. 2

** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) (\$ 768)

SUBMITTED BY

Typed or Printed Name

Martin S.C. Loui

Complete (if applicable)

Reg. Number

43,411

Signature

Martin S.C. Loui

Date

November 2, 2001

**REQUEST AND CERTIFICATION
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

| | | |
|----------------------|---|--|
| First Named Inventor | Sha Li | |
| Title | Video Processing Control And Scheduling | |
| Atty Docket Number | 22682-06281 | |

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

NOVEMBER 2, 2001

Date

Martin S.C. Loui

Signature

Martin S.C. Loui/Reg. No. 43,411

Typed or printed name/Registration Number

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**